



SMALL IN SIZE. BIG ON POTENTIAL.

KNOW YOUR FUND

Some of the biggest companies today have had humble beginnings. They started with only an idea and a hunger for success and they haven't looked back since. SBI Small Cap Fund invests in such companies who dream big and have the potential to be a part of the big league.



INVESTMENT OBJECTIVE

To provide investors with opportunities for long-term growth in capital along with the liquidity of an open-ended scheme by investing predominantly in a well-diversified basket of equity stocks of small cap companies.



KEY HIGHLIGHTS

- Follows a bottom-up strategy to identify high-growth potential companies in small cap space (Small caps are companies beyond top 250 by market capitalization)
- Small caps tend to potentially outperform over the long term, more so during continued uptrends
- Opportunity to invest in niche businesses. Focus is on identifying businesses with scalability suitable for investors with a moderately high level of risk appetite, looking to invest in small cap companies that can benefit from the growth potential in the Indian economy

FUND DETAILS



- **Fund Manager:** Mr. R. Srinivasan
- **Managing Since:** Nov 2013
- **Total Experience:** Over 26 years



- **Quantitative Data:**
Standard Deviation[#]: 26.30%
Beta[#]: 0.87
Sharpe Ratio[#]: 0.21
Portfolio Turnover*:
 Equity Turnover: 0.44
 Total Turnover: 0.80
 Total Turnover = Equity + Debt + Derivatives

Data as on: 30th September, 2020



- **Exit Load:**
 - For exit within 1 year from the date of allotment - 1%
 - For exit after 1 year from the date of allotment - Nil
- **Entry Load:** N.A.
- **SIP:** Fresh registration through SIP / STP-in in the Scheme will be capped at ₹5,000 per month per PAN (first holder / guardian PAN) for daily, weekly, monthly and quarterly frequencies.
 The caps for various frequencies will be as under:
Daily SIP / STP-in: ₹250,
Weekly SIP / STP-in: ₹1,250,
Monthly SIP / STP-in: ₹5,000,
Quarterly SIP / STP-in: ₹15,000
 For further details, refer www.sbimf.com
- **Minimum Investment and Additional Investment:**
 Fresh subscriptions through lumpsum investment (including additional investments / Switch in) in the Scheme is discontinued till further notice in terms of notice cum addendum dated September 04, 2020.

[#]Source: CRISIL Fund Analyser

*Portfolio Turnover = lower of total sale or total purchase for the last 12 months upon Avg. AUM of trailing twelve months.

Risk Free Rate: FBIL Overnight Mibor rate (3.79% as on 30th September, 2020).

Basis for Ratio Calculation: 3 Years Monthly Data Points Ratios are computed using Total Return Index (TRI) as per SEBI Circular dated 4th January, 2018.

PERFORMANCE OF SBI SMALL CAP FUND

Fund managed by R. Srinivasan

Scheme Name	1 Year		3 Years		5 Years		Since Inception		Inception Date & Managing Since
	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	
SBI Small Cap Fund	12.21	11221	5.80	11845	13.44	18800	17.31	58486	Inception Date 09-09-2009
Scheme Benchmark: - S&P BSE Small Cap (TRI)	14.05	11405	-1.72	9492	7.12	14108	8.07	23603	Managing Since Nov-2013
Additional Benchmark: - S&P BSE Sensex (TRI)	-0.44	9956	8.00	12602	9.15	15502	9.56	27464	

Scheme Name	1 Year		3 Years		5 Years		Since Inception		Inception Date & Managing Since
	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	
Performance of other fund managed by R. Srinivasan, Fund Manager of SBI Small Cap Fund									
SBI Focused Equity Fund	-1.49	9851	6.33	12025	9.74	15927	18.04	143127	Inception Date 17-09-2004
Scheme Benchmark: - S&P BSE 500 (TRI)	1.52	10152	4.21	11320	8.52	15057	13.83	79941	Managing Since May-2009
Additional Benchmark: - S&P BSE Sensex (TRI)	-0.44	9956	8.00	12602	9.15	15502	14.31	85517	
Performance of fund jointly managed by R. Srinivasan (Equity portion), Dinesh Ahuja (Debt portion) & Mohit Jain (investments in foreign securities portion)									
SBI Equity Hybrid Fund	-0.85	9915	5.57	11768	7.93	14653	14.84	317560	Inception Date 09-10-1995
Scheme Benchmark: - CRISIL Hybrid 35+65 - Aggressive Index	6.16	10616	6.92	12224	9.31	15617	N.A.	N.A.	Managing Since Jan-2012
Additional Benchmark: - S&P BSE Sensex (TRI)	-0.44	9956	8.00	12602	9.15	15502	11.64	156638	

Notes:

- The details of the period since the Fund Manager is managing the scheme(s) and inception date provided alongside of the table. The total no. of schemes managed by Mr. R. Srinivasan is 3 (1 scheme is jointly managed by Mr. Dinesh Ahuja)
- Different plans shall have a different expense structure. Performance for all scheme(s) are of Regular Plan - Growth Option. In case of SBI Equity Hybrid Fund Growth Option was introduced later on 19-Jan-2005, hence for the purpose of calculation since inception returns, all dividends declared prior to the splitting of the scheme into Dividend & Growth Options are assumed to be reinvested in the units of the scheme at the then prevailing NAV (ex-dividend NAV) and net of Dividend Distribution tax, if any
- Past performance may or may not be sustained in future and the same may not necessarily provide the basis for comparison with other investment
- Load is not considered for computation of returns
- Period for which scheme's performance has been provided is computed basis last day of the month-end preceding the date of advertisement i.e. 30th September, 2020. In case, the start/end date of the concerned period is a non-business day, the NAV of the previous date is considered for computation of returns
- Scheme count for the total schemes managed by the Fund Managers does not include close-ended Scheme
- SBI Focused Equity Fund: As scheme benchmark TRI data is not available since inception of the scheme, benchmark performance is calculated using composite CAGR of S&P BSE 500 PRI values from 17-Sep-04 to 31-Jul-06 and TRI values since 01-Aug-06
- SBI Equity Hybrid Fund: As the scheme was launched before the launch of the benchmark index, benchmark index figures since inception or the required period are not available
- SBI Equity Hybrid Fund: As scheme additional benchmark TRI data is not available since inception of the scheme, additional benchmark performance is calculated using composite CAGR of S&P BSE Sensex PRI values from 9-Oct-95 to 18-Aug-96 and TRI values since 19-Aug-96
- The performance of the schemes is benchmarked to the Total Return variant of the Index

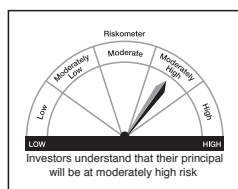
Performance of Category II – FPI Portfolio (advised by R. Srinivasan)

Scheme Name	1 Year		3 Years		5 Years		Since Inception		Inception Date & Advising Since
	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	CAGR %	Point-to-Point returns on Standard Investment of ₹10,000/-	
Category II - FPI Portfolio (managed under a bilateral agreement under Regulation 24(b) and subject to applicable laws)	1.49	10,149	N.A.	N.A.	N.A.	N.A.	4.76	10,476	Inception Date 03-12-2018
Scheme Benchmark :- MSCI India (TRI)	3.03	10,303	N.A.	N.A.	N.A.	N.A.	3.62	10,362	Advising Since Dec-2018
Additional Benchmark :- S&P BSE Sensex (TRI)	-0.44	9,956	N.A.	N.A.	N.A.	N.A.	3.94	10,394	

Past performance may or may not be sustained in the future. Inception date is 3rd December, 2018. The performance is not comparable with the performance of the scheme(s) of SBI Mutual Fund due to differing investment objective/s and fundamental differences in asset allocation, investment strategy and the regulatory environment. The said disclosure is pursuant to SEBI Circular No. Cir/IMD/DF/F/2012 dated February 28, 2012 pertaining to Regulation 24(b) of SEBI (Mutual Funds) Regulations, 1996. FPI – Foreign Portfolio Investor.

Notes:

- The above Performance returns are calculated and compared from the date of inception of Category II- FPI Portfolio i.e. 3rd December, 2018
- Returns are compounded annualised (CAGR)
- For calculation of scheme performance, NAV is converted into INR using currency conversion rate i.e. USD/INR rate. (Source: Bloomberg, closing prices)
- The performance of the scheme is benchmarked to the Total Return variant of the index
- Benchmark return is based on INR value (Source: Bloomberg)



SBI Small Cap Fund is suitable for investors who are seeking[^]:

- Long-term capital appreciation
- Investment in equity and equity-related securities predominantly of small cap companies

[^]Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

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Mutual Fund investments are subject to market risks, read all scheme related documents carefully.