EQUITY–SMALL CAP FUND

SBI SMALL CAP FUND
An open-ended Equity Scheme predominantly investing in small cap stocks
(Previously known as SBI Small & Midcap Fund)

Investment Objective
To provide investors with opportunities for long-term growth in capital along with the liquidity of an open-ended scheme by investing predominantly in a well diversified basket of equity stocks of small cap companies.

Fund Details

- **Type of Scheme**
  - An open-ended Equity Scheme predominantly investing in small cap stocks

- **Date of Allotment**: 07/09/2009
- **Report As On**: 31/05/2020

- **AUM as on March 31, 2020**: ₹3,244.88 Crores
- **AUM as on May 31, 2020**: ₹3,373.61 Crores

- **Option**
  - **Exit Load**: For exit within one year from the date of allotment - 1%
  - **Exit Load**: For exit after one year from the date of allotment - Nil

- **Entry Load**
  - **Min. Investment**
    - Daily - Minimum 500 & in multiples of 1 thereafter for a minimum of 12 instalments.
    - Weekly - Minimum 1000 & in multiples of 1 thereafter for a minimum of 4 instalments.
    - Monthly - Minimum 1000 & in multiples of 1 thereafter for a minimum of 12 instalments.
    - Quarterly - Minimum 1500 & in multiples of 1 thereafter for a minimum of six months.
    - Yearly - Minimum 2500 & in multiples of 1 thereafter for a minimum of one year.

- **Portfolio Classification by Asset Allocation (%)**
  - Consumer Goods: 25.06
  - Industrial Manufacturing: 17.07
  - Financial Services: 8.54
  - Chemicals: 7.56
  - Construction: 7.23
  - Cement & Cement Products: 5.88
  - Healthcare Services: 2.99
  - Power: 2.86
  - IT: 2.65
  - Services: 2.64
  - Textiles: 2.02
  - Pharma: 1.52
  - Media And Entertainment: 0.92
  - Paper: 0.70
  - Derivatives: 3.09
  - Cash, Cash Equivalents And Others: 6.35

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- **Quantitative Data**
  - **Standard Deviation**: 24.94%
  - **Beta**: 0.88
  - **Sharpe Ratio**: 0.02
  - **Portfolio Turnover**: 0.24

- **Total Turnover**: 0.70

- **Portfolio Turnover – Lower of total sale or total purchase for the last 12 months (including equity derivatives) upon Aug. AUM of trailing twelve months.**

- **Risk Free rate**: 6.31519% (as on 28th May 2020)

- **Return As On**: 31/05/2020

PORTFOLIO

**Net Asset Value**

<table>
<thead>
<tr>
<th>Option</th>
<th>NAV (₹)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reg-Plan-Dividend</td>
<td>26.0260</td>
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<tr>
<td>Reg-Plan-Growth</td>
<td>44.0511</td>
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<tr>
<td>Dir-Plan-Dividend</td>
<td>32.6786</td>
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<tr>
<td>Dir-Plan-Growth</td>
<td>47.8732</td>
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</tbody>
</table>

**Last Dividends**

<table>
<thead>
<tr>
<th>Record Date</th>
<th>Dividend (in ₹/Unit)</th>
<th>NAV (₹)</th>
</tr>
</thead>
<tbody>
<tr>
<td>09-Mar-18</td>
<td>9.10</td>
<td>33.3383</td>
</tr>
<tr>
<td>09-Mar-18</td>
<td>11.10</td>
<td>40.7137</td>
</tr>
<tr>
<td>28-Aug-15</td>
<td>3.60</td>
<td>23.5236</td>
</tr>
<tr>
<td>28-Aug-15</td>
<td>4.30</td>
<td>27.8630</td>
</tr>
<tr>
<td>30-Jan-15</td>
<td>4.00</td>
<td>26.0785</td>
</tr>
</tbody>
</table>

- **Options**: Growth, Dividend

**Stock Name** (% of Total AUM) | % of AUM Derivatives
--- | ---
Dixon Technologies (India) Ltd. | 4.93
Hawkins Cookers Ltd. | 3.48
ELGI Equipments Ltd. | 3.33
Navin Fluorine International Ltd. | 3.67
Relaxo Footwears Ltd. | 3.61
Indostar Capital Finance Ltd. | 3.56
JF Cement Ltd. | 3.40
Hatsun Agro Product Ltd. | 3.31
Timken India Ltd. | 2.99
Narayana Hrudayalaya Ltd. | 2.92
Engineers India Ltd. | 2.95
Sheela Foam Ltd. | 2.95
Tube Investments Of India Ltd. | 2.51
Carborundum Universal Ltd. | 2.42
Triveni Turbine Ltd. | 2.40
Star Cement Ltd. | 2.40
Blue Star Ltd. | 2.13
NIT Ltd. | 2.08
CSB Bank Ltd. | 2.00
Galaxy Surfactants Ltd. | 1.99
HDFC Bank Ltd. | 1.93
Grindwell Norton Ltd. | 1.88
Kajaria Ceramics Ltd. | 1.86
Techno Electric & Engineering Company Ltd. | 1.68
NHPC Ltd. | 1.59
Alembic Ltd. | 1.52
Chalco Ltd. Hotels Ltd. | 1.43
TTK Prestige Ltd. | 1.28
K+S Kaliwasser Works Ltd. | 1.26
V-Mart Retail Ltd. | 1.15
Kewal Kiran Clothing Ltd. | 1.12

- **Portfolio**
- **Cash, Cash Equivalents And Others**: 6.35

- **Total**: 100.00

- **Face value**: ₹10

**Pursuant to payment of dividend, the NAV of Dividend Option of Scheme/plans would fall to the extent of payout and statutory levy, if applicable.**