

# FINANCIAL TRANSACTION FORM

(Use separate slips for each Financial Transaction)

**FOR EXISTING INVESTOR ONLY**  
**For Purchase/Redemption/Switch**

ARN / RIA CODE & NAME	Branch Code (only for SBG)	Sub-Broker ARN Code	Sub-Broker Code	EUIN* (Employee Unique Identification Number)	Reference No.
<b>Declaration for "execution-only" transaction (only where EUIN box is left blank)</b> * I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.					
Signature(s)	1st Holder/Guardian /Authorised Signatory	2nd Holder	3rd Holder		
Folio No.				Tax Status	
Name of Investor				Mode of Holding	
PAN / PEKRN	1st Holder/Guardian	2nd Holder	3rd Holder		
KYC Status	1st Holder/Guardian	2nd Holder	3rd Holder		
Any alterations / corrections made on the Transaction slip needs to be counter signed by the unit holder(s).					

ADDITIONAL PURCHASE			SWITCH		
Scheme Name			From Scheme		
Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct	Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct
Option	<input type="checkbox"/> Growth	<input type="checkbox"/> IDCW (Dividend)	Option	<input type="checkbox"/> Growth	<input type="checkbox"/> IDCW (Dividend)
	<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly	
	<input type="checkbox"/> Quarterly	<input type="checkbox"/> Half Yearly	<input type="checkbox"/> Annually		
IDCW Facility	<input type="checkbox"/> Payout	<input type="checkbox"/> Reinvest	No. of Units/ Amount	<input type="checkbox"/> _____ Units OR <input type="checkbox"/> All Units OR <input type="checkbox"/> _____ (Amt. in Rs.)	
Mode of Payment	<input type="checkbox"/> Cheque/DD	<input type="checkbox"/> RTGS/NEFT	<input type="checkbox"/> OTM	Amount in Words	
Amount	_____ (Amt. in Rs.)		To Scheme		
	Amount in Words		Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct
Cheque/DD/RTGS/ NEFT/OTM Ref. No.		Date	Option	<input type="checkbox"/> Growth	<input type="checkbox"/> IDCW (Dividend)
Bank A/c No.				<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly
Bank Name				<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly
Branch Name				<input type="checkbox"/> Quarterly	<input type="checkbox"/> Half Yearly
				<input type="checkbox"/> Annually	
			IDCW Facility	<input type="checkbox"/> Payout	<input type="checkbox"/> Reinvest
REDEMPTION			SIGNATURE(S)		
Scheme Name			I/We have read & understood the contents of the Scheme Information Document, KIM and Addendum(s) of the respective Scheme(s) and agree to abide by the Terms & Conditions, Rules & Registrations as applicable from time to time. <b>Please sign as per mode of holding in Folio.</b>		
Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct	Signature of 1st Applicant/Guardian/Authorised Signatory		
Option	<input type="checkbox"/> Growth	<input type="checkbox"/> IDCW (Dividend)			
	<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly	
	<input type="checkbox"/> Quarterly	<input type="checkbox"/> Half Yearly	<input type="checkbox"/> Annually		
No. of Units/ Amount	<input type="checkbox"/> _____ Units OR <input type="checkbox"/> All Units OR <input type="checkbox"/> _____ (Amt. in Rs.)		Signature of 2nd Applicant		
Redemption Payout Bank (Payment will be made only to the Registered Bank account)	<input type="checkbox"/> To my Default Bank account Registered in the Folio OR <input type="checkbox"/> To the following other Bank account Registered in the Folio		Signature of 3rd Applicant		
	Bank Name/Branch				
	Bank Account Number				

Date:

Place:

## ACKNOWLEDGEMENT

Folio No.	Investor Name					
Scheme Name	Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct	Option	<input type="checkbox"/> Growth	<input type="checkbox"/> IDCW
<input type="checkbox"/> Additional Purchase	<input type="checkbox"/> Redemption		<input type="checkbox"/> Switch			
Cheque Details	No of Units		To Scheme Name			
Amount (Rs.)	Amount (Rs.)		Units/Amount (Rs.)			